

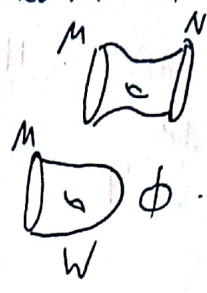
# Hirzebruch Signature Thm

Let  $\Omega_n^{SO} = \{ \text{closed oriented } n\text{-mfd's} \} / \sim$ .  $M \sim N$  if  $\exists W^{n+1}$ , an oriented mfd w/  $\partial W = M \cup N$ .

Eg.  ~~$\Omega_1^{SO}$~~

$n$	$\Omega_n^{SO}$
0	$\mathbb{Z}$
1	0
2	0
3	0
4	$\mathbb{Z}$ ← generated by $\mathbb{C}P^2$
5	$\mathbb{Z}/2$ ← generated by $SU(3)/SO(3)$ - Wu mfd

cobordism



We allow



This is a group under disjoint union w/ identity = [n-mfd's that (or connected sum) bound]

Then, let  $\Omega^{SO} = \bigoplus_{n \geq 0} \Omega_n^{SO}$ ; this is a graded ring under Cartesian product which is compatible w/ addition. A pt is the multiplicative unit.

Indeed: If  $M \sim M'$  w/ cobordism  $W$ , then  $M \times N \sim M' \times N$  b/c  $\partial(W \times N) = (M \cup M') \times N = (M \times N) \cup (M' \times N)$ .

Thm (Thom):  $\Omega^{SO} \otimes \mathbb{Q} \cong \mathbb{Q}[p_1, p_2, p_3, \dots]$ ,  $|p_i| = 4i$ .

So this means all the  $\Omega_k^{SO}$  have only torsion elements when  $k \not\equiv 0 \pmod{4}$ . The proof is not so hard but ~~Thom~~ Thom did the much harder thing; determined  $\Omega^{SO}$ .

Consider mfd's of dim  $4n$ ; then PD let's us study  $H_{2n} \otimes H_{2n} \rightarrow \mathbb{Z}$ ; we get an intersection form.  $Q(M)$

Claim:  $Q$  is symmetric, bilinear, & unimodular.

Def: Let  $Q$  be diagonalized over  $\mathbb{Q}$ ;  $b^+$  = # of positive diagonal elements,  $b^-$  = # of neg diagonal elem.

$$\sigma(M) = b^+ - b^-$$

$b^+$ ,  $b^-$  do not depend on any choices in linear alg; they are homotopy invariants for  $M$  & hence,

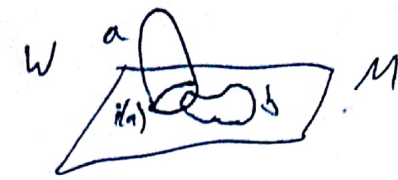
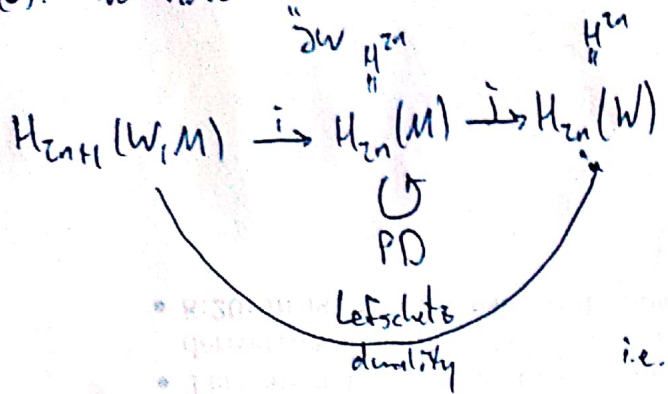
so is  $\sigma$ .

Prop: 1.  $\sigma(M \cup N) = \sigma(M) + \sigma(N)$  - easy

2.  $\sigma(M \times N) = \sigma(M) \sigma(N)$  - K oneth + annoying linear algebra

3.  $\sigma(\partial W) = 0$

pt. f (3). We have  $M \xrightarrow{\cong} W \xrightarrow{\cong} (W, M)$  } hence, a LES of pairs; work over  $\mathbb{Q}$ .



$\Rightarrow \langle i(a), b \rangle = \langle a, j(b) \rangle$   
 i.e.  $i$  &  $j$  are adjoint & hence, have the same rank.

$rk\ i = rk\ j = \dim H_{2n}(M) - \ker j = \dim H_{2n}(M) - rk\ i \Rightarrow 2rk\ i = \dim H_{2n}(M)$   
 exactness

Also, if  $b = i(a)$ , then  $\langle b, b' \rangle = \langle i(a), b' \rangle = \langle a, j(b') \rangle = \langle a, j(i(a')) \rangle = 0$   
 $b' = i(a')$

So  $\text{Im } i = \ker j$  is self-annihilating; i.e. the intersection form on  $\ker j$  is trivial.  $\ker j$  has a dual  $(\ker j)^*$ ;  $H_{2n}(M) = \ker j \oplus (\ker j)^*$ ; the pieces have the same dim & the pairing is 0 on each summand, hence of the form  $\begin{pmatrix} 0 & A \\ A & 0 \end{pmatrix}$  (it's symmetric); this is conjugate to  $\begin{pmatrix} A & 0 \\ 0 & -A \end{pmatrix}$  which has signature 0.  $\square$

Corollary:  $\sigma: \Omega^{SO} \otimes \mathbb{Q} \rightarrow \mathbb{Q}$  is a unital ring morphism.

Fact:  $\sigma(\mathbb{C}P^{2n}) = +1$ ; for interest,  $S^2 \hookrightarrow \mathbb{C}P^{2n+1} \rightarrow \mathbb{H}P^n$  is a fibration &  $\mathbb{C}P^{2n+1}$  is a bundle

Bigger claim:  $\Omega^{SO} \otimes \mathbb{Q} \cong \mathbb{Q}[\mathbb{C}P^2, \mathbb{C}P^4, \mathbb{C}P^6, \dots]$

We can compute the Pontryagin classes for  $\mathbb{C}P^{2n}$  from Chern classes & they have the right properties.   
 I mean cobordism class in which  $\mathbb{C}P^{2n}$  lives

On to multiplicative sequences & genera



Let  $Q(x) \in \mathbb{Q}[[x]]$  be a formal power series of the form  $Q(x) = 1 + a_2 x^2 + a_4 x^4 + \dots$

Let  $x_i$  be variables of weight 2,  $1 \leq i \leq n$ . Then

$$Q(x_1) Q(x_2) \dots Q(x_n) = 1 + a_2 \sum_{\text{weight } 4} x_i^2 + a_4 (\dots) + \dots$$

Further view as ~~formal~~ elementary symmetric poly

We may write this in terms of homogeneous poly  $K_r(p_1, \dots, p_r)$  of weight  $4r$  where the  $p_j$  are elementary symmetric poly of  $x_i^2$ :

$$Q(x_1) \dots Q(x_n) = 1 + K_1(p_1) + K_2(p_1, p_2) + \dots + K_n(p_1, \dots, p_n) + K_{n+1}(p_1, \dots, p_n, 0) + \dots$$

Def: Let  $\{K_r\}_{r=1}^n$  be called the multiplicative sequence for  $Q$ .

Fact: Suppose  $x_i, y_i, z_i$  are some variables satisfying  $1 + x_1 + x_2 + x_3 + \dots = (1 + y_1 + y_2 + \dots)(1 + z_1 + z_2 + \dots)$

Then  $\sum_{n \geq 0} K_n(x_1, \dots, x_n) = \left( \sum_{n \geq 0} K_n(y_1, \dots, y_n) \right) \left( \sum_{n \geq 0} K_n(z_1, \dots, z_n) \right)$ . Hence the same multiplicative seq.

Def: A genus  $\varphi = \mathcal{Q}^{SO} \otimes \mathbb{Q} \rightarrow \mathbb{Q}$  is simply a unital ring morphism.

Given mult seq for  $Q \in \mathbb{Q}[[x]]$  of the form from before, define

$$\varphi_Q(M^{\#n}) = K_n(p_1, \dots, p_n)[M] \text{ where we now view } p_i \in H^{4i}(M, \mathbb{Z}).$$

More generally,  $\varphi_Q(M) = K[M]$  when  $4 | \dim M$ ; is 0 otherwise.

$$K[M] = \sum_{n \geq 0} K_n(p_1, \dots, p_n)$$

Lemma:  $\varphi_Q$  is a well-defined genus.

pf: Additivity is clear, multiplicativity follows from the properties of multiplicative seq.

We show  $\varphi_Q(\partial W) = 0$ .  $\partial W \cong W \times \mathbb{R} \rightarrow W$   $TW|_M = TM \oplus \mathbb{R}$  is so

$p_i(TM) = p_i(TM \oplus \mathbb{R}) = p_i(TW|_M)$ . By Stokes  $\int_{\partial W} p_i = \int_W dp_i = 0$

Clearly, unital

Thom:  $\{ \text{genus} \} \leftrightarrow \{ \text{multiplicative seq} \} \leftrightarrow \{ \text{formal power series logarithm w/ } 1 \}$  Bernoulli:  $B_k$

Thom (Hirzebruch): Let  $L(x) = \frac{x}{\tanh x} = 1 + \frac{x^2}{3} - \frac{x^4}{45} + \frac{2x^6}{945} - \frac{x^8}{4725} + \dots + (-1)^{k-1} \frac{2^k B_k}{(2k)!} x^{2k}$

Then  $\varphi_L = \sigma$ -signature.

Eff: Being multiplicative, we only need to check  $\varphi_L(\mathbb{C}P^{2n}) = \sigma(\mathbb{C}P^{2n}) = +1$ ; ~~suppose  $\varphi_L(\mathbb{C}P^{2n}) = 1$~~

Let  $x$  be the generator of  $H^2(\mathbb{C}P^{2n}, \mathbb{Z}) \cong \mathbb{Z}[x]/(x^{2n+1})$ . The total Pontryagin class is

$P(\mathbb{C}P^{2n}) = (1+x^2)^{2n+1}$ ; let  $\{k_i\}$  be the ~~total~~ mult seq for  $L$ . Being multiplicative, it satisfies

$$k((1+x^2)^{2n+1}) = k((1+x^2)^{2n+1}) = L(x)^{2n+1}. \text{ So we want to find } \varphi_L(\mathbb{C}P^{2n}) = \left\langle \left( \frac{x}{\tanh x} \right)^{2n+1}, [\mathbb{C}P^{2n}] \right\rangle.$$

$$k\left(\sum_{i=0}^{2n} a_i x^i\right) = \sum_{i=0}^{2n} k_i (a_i \cdot i) x^i$$

ie. prove the  $a_{2n}$ -coefficient for  $x^{2n}$  in  $\left(\frac{x}{\tanh x}\right)^{2n+1}$  is 1.

~~$\frac{d}{dx} \left(\frac{x}{\tanh x}\right)^{2n+1}$~~   
 $\frac{d}{dx} \left(\frac{x}{\tanh x}\right)^{2n+1}$   
 $\frac{2n+1}{x} \left(\frac{x}{\tanh x}\right)^{2n}$

Recall  $\frac{d}{dx} \frac{x}{\tanh x} = \frac{1}{\tanh x} - \frac{x}{\cosh^2 x}$ . ~~Cauchy Integral formula says~~ Cauchy Integral formula says:  $a_{2n} = \frac{1}{2\pi i} \int_{\gamma} \frac{1}{z} \frac{1}{z^{2n+1}} \cdot \frac{x^{2n+1}}{(\tanh x)^{2n+1}} dx$  around 0.

$$= \frac{1}{2\pi i} \int_{\gamma} \frac{dx}{(\tanh x)^{2n+1}}, \text{ let } u = \tanh x, du = (1 - \tanh^2 x) dx$$

$$= \frac{1}{2\pi i} \int \frac{du}{(1-u^2) u^{2n+1}}; \text{ write } \frac{1}{1-u^2} = \sum_{k=0}^{\infty} u^{2k}; \text{ the integral} = \frac{1}{2\pi i} \sum_{k=0}^{\infty} \int_{\gamma} \frac{du}{u^{2(n-k)+1}}$$

When  $n-k < 0$ , we have a polynomial which has no pole so the integral vanishes. When  $n-k \geq 0$ ,

Cauchy Integral formula says  $\frac{1}{2\pi i} \int \frac{du}{u^{2(n-k)+1}} = 2(n-k)!$  derivative of  $f \equiv 1$  which is 0 unless  $n=k$ , in which

case, it's the 0th derivative:  $f(0) = 1 = a_{2n}$ .  $\square$

Historically, Hirzebruch proved his theorem in one day. In the morning, he learned that Thom showed

$$\Omega^{SO} \otimes \mathbb{Q} \cong \mathbb{Q}[\mathbb{C}P^2, \mathbb{C}P^4, \mathbb{C}P^6, \dots]; \text{ so he just needed to find } L \text{ using } \mathbb{C}P^{2n}.$$

After computing some of the terms in the ~~formal~~ series, he recognized it as the first

terms for the Taylor series of  $\frac{x}{\tanh x}$ .



Suppose we're like Kleebrunns, looking for the formal power series we know  $\neq 1$  like

$L(x)^{2n+1}$  is such that the coeff for  $x^{2n}$  is 1. Let's compute some.  
(we'll square later)

$n=0$ , const term = 1

$n=1$ , we want the ~~linear~~ <sup>linear</sup> term of  $L(x)^3$  to have coeff 1. Let  $b_1$  = linear coeff for  $L(x)$ .

$$\| L(x)^3 = (1 + b_1 x + \dots)^3 = 1 + 3b_1 x + O(x^2) \text{ so } b_1 = \frac{1}{3}.$$

$n=2$ : want square term to be 1 in  $L(x)^5 = (1 + \frac{1}{3}x + b_2 x^2 + \dots)^5 = 1 + \frac{5}{3}x + (\frac{10}{9} + 5b_2)x^2 + \dots$

$$\text{So } b_2 = -\frac{1}{45}.$$

$$n=3. L(x)^7 = (1 + \frac{1}{3}x - \frac{1}{45}x^2 + b_3 x^3 + \dots)^7 \rightarrow 7b_3 + \frac{133}{135} = 1 \text{ so } b_3 = \frac{2}{945}.$$

Let's prove the signature formulas in dms 4 & 8.

Using multiplicativity:  $1 + K_1^L(p_1)x + K_2^L(p_1, p_2)x^2 + \dots = K^L(y_1, x) K^L(y_2, x) = (1 + \frac{1}{3}y_1 x - \frac{1}{45}y_1^2 x^2 + \dots)$

(the  $x$  is to keep track of degree)

$$\cdot (1 + \frac{1}{3}y_2 x - \frac{1}{45}y_2^2 x^2 + \dots)$$

$$\text{RHS} = 1 + \frac{1}{3}(y_1 + y_2)x + (\frac{1}{9}y_1 y_2 - \frac{1}{45}(y_1^2 + y_2^2))x^2 + O(x^3).$$

$$p_1 = y_1 + y_2 \text{ (symmetric poly)}. \text{ Comparing deg in } x, K_1^L(p_1) = \frac{1}{3} p_1. \text{ So } \sigma(M^4) = \frac{1}{3} p_1(M^4).$$

$$\text{Really check: } \sigma(\mathbb{C}P^2) = \frac{1}{3} p_1(\mathbb{C}P^2) = c_2(\mathbb{C}P^2) = \chi(\mathbb{C}P^2) = 3.$$

$$K_2^L(p_1, p_2) = \frac{1}{9} y_1 y_2 - \frac{1}{45} (y_1^2 + y_2^2) = \frac{1}{9} p_2 - \frac{1}{45} p_1^2 + \frac{2}{45} p_2 = \frac{1}{45} (7p_2 - p_1^2)$$

$$p_2 = y_1 y_2 \quad (y_1 + y_2)^2 - 2y_1 y_2 \quad \sigma(M^8)$$

$$\text{Eg. } \sigma(K3) = -16 \Rightarrow p_1 = -48. \text{ We'll verify } \sigma(K3 \times K3) = \sigma(K3) \sigma(K3) = 256.$$

The total  $P$  class  $p(x) = (1+x)(1+y)$  where  $x=y=p_1(K3)$ . For deg reasons,  $x^2=y^2=0$ .

$$1 + p_1(K3)x + p_2(K3)x^2 = 1 + (x+y) + xy.$$

$$\sigma(X) = \frac{1}{45} (7p_2 - p_1^2), \quad p_1^2 = (x+y)^2 = 2xy \text{ since } x^2=y^2=0. \text{ So } \sigma(X) = \frac{1}{45} (7xy - 2xy)$$

$$= \frac{1}{9} \left( \sum_{K3} p_1(K3) \right)^2 = \frac{48^2}{3^2} = 16^2 = 256.$$

Remarks: 1. There is a genus for other important invariants as well, like the  $\hat{A}$ -genus, whose series is  $\frac{x/e}{\sinh(x/e)}$ . There is a lot of fascinating stuff about elliptic genera; elliptic cohomology.

2. Milnor's exotic 7-sphere  $M$  is the total space of a principal  $S^3$  over  $S^4$ . Clearly, it bounds an 8 mfd. If  $M \cong_{\text{diff}} S^7$ , then we can cap off the 8 mfd, call it  $N$ , & compute  $\sigma(N)$  which ~~should~~ should be an integer, computable using  $\frac{1}{45}(7p_2 - p_1^2)$ . But this turns out to not be an integer, so  $M \not\cong S^7$ .

A genus  $\varphi$  is determined by  $g(x) = \sum_{n \geq 0} \frac{\varphi(\mathbb{C}P^{2n})}{2n+1} x^{2n+1}$ , its logarithm.

An elliptic genus is one where its logarithm  $g(x) = \int_0^x (1 - 25t^2 + \varepsilon t^4)^{-1/2} dt$ , where  $\delta = \varphi(\mathbb{C}P^2)$ ,  $\varepsilon = \varphi(\mathbb{H}P^2)$ .

class we get  $\sigma$  when  $\delta = \varepsilon = 1$ ,  $\hat{A}$  when  $\delta = 1/8$ ,  $\varepsilon = 0$ .